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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
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| 10/551,106 | 10/25/2005 | Chetan Chhabildas Doshi | RG/G-33005A | 4357 |
| 72554 | 7590 | 12/10/2008 | | |
| SANDOZ INC 506 CARNEGIE CENTER PRINCETON, NJ 08540 | | | EXAMINER YOUNG, MICAH PAUL | |
| | | | ART UNIT 1618 | PAPER NUMBER |
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/551,106

Applicant(s)

DOSHI ET AL.

Examiner

MICAH-PAUL YOUNG

Art Unit

1618

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-17 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-17 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. ____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/S509)
Paper No(s)/Mail Date 9/26/05 & 1/29/07
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date ____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: ____

DETAILED ACTION

Information Disclosure Statement

The information disclosure statement (IDS) submitted on 9/26/05 and 1/29/07 was filed after the mailing date of the Specification on 9/26/05. The submission is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 1-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sherman (USPN 6,274,171 hereafter '171) in view of Mulye (USPN 6,475,493 hereafter '493). The claims are drawn to a pellet comprising a core comprising venlafaxine, and a first and second coating.

The '171 patent discloses a coated controlled release venlafaxine formulation (abstract). The core pellet comprises venlafaxine, microcrystalline cellulose and hydroxy methylcellulose (example 1). The components of the core are combined with water into a mass, extruded, spheronized, dried and coated (*Ibid.*). The spheroids are next coated with an extended release coating composition comprising ethylcellulose, a water insoluble polymer and hydroxypropyl methylcellulose a water soluble sugar (col. 4, lin. 34-44). The pellets comprise from 6-30% venlafaxine, 50-90% microcrystalline cellulose and from 0.25-1% hydroxypropyl methylcellulose (claims). The reference is however silent to a specific second layer, comprising a polymethacrylate copolymer although similarly water insoluble polymers such as ethylcellulose are disclosed. The inclusion of a second layer comprising such polymethacrylate polymers would have been obvious in the art as seen in the '493 patent.

The '493 patent discloses a controlled release pellet formulation comprising a core and at least two coatings (abstract, col. 7, lin. 45-52). The core comprises the drug and carriers (col. 10, lin. 51-62), while the coatings can be applied to completed pellets in a composition that comprises a first and second layer (*Ibid.*). The coating composition comprises wetting agents such as cetostearyl alcohol, along with lubricants such as talc (col. 7, lin. 65, col. 8, lin. 4-8). The wetting agents are present in small amount in the coating composition as low as 0.5% (example 6). The first coating layer is water soluble and can comprise well known water soluble sugars such as hydroxypropyl methylcellulose, the final second coating is made of water insoluble enteric polymers such as methacrylate copolymers and polyvinyl acetate (claims). These enteric polymers are present in an amount from 2-25% (claims). It would have been obvious to coated the pellets of the '171 patent with the multiple coating composition of the '493

patent since both patent provide similarly formulation with comparable compounds such as cellulose polymers and common pharmaceutical carriers.

With these things in mind it would have been obvious to combine the controlled release coating composition of the '493 patent with the pellets of the '171 patent in order to provide an improved controlled release formulation that releases active agent over a prolonged period of time. It would have been obvious to combine the teachings and disclosures of the art with an expected result of a sustained release antidepressant formulation useful in treating patents suffering from depression.

Correspondence

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MICAH-PAUL YOUNG whose telephone number is (571)272-0608. The examiner can normally be reached on Monday-Friday 7:00-4:30; every other Monday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael G. Hartley can be reached on 571-272-0616. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Michael G. Hartley/
Supervisory Patent Examiner, Art Unit 1618

/MICAH-PAUL YOUNG/
Examiner, Art Unit 1618